## PART B - FEE(S) TRANSMITTAL

Complete and Sono	this form, w	ith applicable			Mail Stop ISSUE Commissioner fo P.O. Box 1450 Alexandria, Virgi (703) 746-4000	r Patents	1450	
INSTRUCTIONS: This for appropriate All further condicated unless parties maintenance fee mountain	should be used for transported by the should be used for the used for transported for the used for the	smitting the ISSU Patent, advance or in Block 1, by (a	E FEE and ders and not ) specifying	PUBLIC ification a new o	CATION FEE (if required of maintenance fees we correspondence address;	ired). Blocks vill be mailed and/or (b) inc	through 5 s to the current licating a sepa	hould be completed where correspondence address as arate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)  Note: A certificate of mailing can only be used for domestic mailings								
75			papers. Each additional have its own certificate	il paper, such a	s an assignme	or any other accompanying on tor formal drawing, must		
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.					I hereby certify that th States Postal Service v addressed to the Mail transmitted to the USP	tificate of Mai is Fee(s) Trans vith sufficient p I Stop ISSUE TO (703) 746-4	mittal is being oostage for fir FEE address	g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below.
One Landmark Square					Ruth J. Olivo	. Olin		(Depositor's name)
Stamford, CT 06901					August 17, 2009		<i></i>	(Signature) (Date)
· ·								
APPLICATION NO.	FILING DATE		FIRST NAME			ATTORNEY D		CONFIRMATION NO.
10/523,855	09/30/2005		Samantha Jo		nes /2009 RMEBRAH1 000(	360.817 30060 010467		4410
TITLE OF INVENTION:	INVESTMENT CAS	TING PROCE		01 FC 02 FC	:2501	7	55.00 OP	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE		PI	UBLICATION FEE	TOTAL FEE(S) DUE		DATE DUE
nonprovisional	YES	\$755			\$300	\$1055		10/06/2009
EXAMINER		ART UN	ART UNIT		LASS-SUBCLASS			
LIN, KUANG Y 179			3 164-350000					
<ol> <li>Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).</li> <li>Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.</li> </ol>			2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.					
3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)								
PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.								
(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)								
University of the Birmingham Birmingham, UNITED KINGDOM								
Please check the appropriate assignee category or categories (will not be printed on the patent):								
4a. The following fee(s) are enclosed:  4b. Payment of Fee(s):								
Issue Fee	A check in the amount of the fee(s) is enclosed.							
Publication Fee (No	ed)	Payment by credit card. Form PTO-2038 is attached.						
Advance Order - # o	The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 01-0467 (enclose an extra copy of this form).							
5. Change in Entity Status (from status indicated above)  a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).								FR 1.27(g)(2).
The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.								
Authorized Signature		Date August 17, 2009						
Typed or printed name _	/	Registration No. 31,019						
This collection of informati	ion is required by 37 CFR 1.3	11. The informatio	n is required	to obtai	n or retain a benefit by t	the public whic	h is to file (an	d by the USPTO to process)

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.